The importance of data management planning (in Horizon Europe)

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With thanks to many DCC colleagues for reuse of images

DMPonline, DCC, and the associated logos
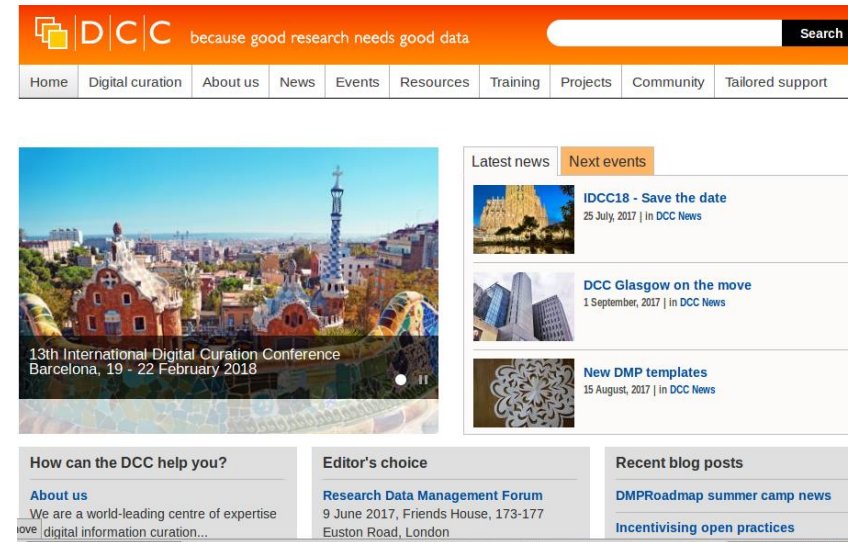are registered trademarks of the University of
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Topics today

- The value of data management & stewardship

- What goes into a DMP?

- The DMPonline service – for researchers and organisations

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My home – the DCC

- Consultancy

- Training

- Research

- Services

- Guidance

- Events

...and an organisational member of RDA, Datacite, Digital Preservation Coalition & COAR

Why does data matter?

- Moral and financial reasons:

    - Reusable data improves integrity, speed and cost of research
    - True even if reuse is only by the original creators

- Mandates by funders

- Sparc Europe/DCC briefing on value of open data to institutions: https://sparceurope.org/new-briefing-paper-value-open-data-research-institutions/

- Self-interest – such as citation advantage
    - Alter, Pienta, Lyle – 240%, social sciences *
    - Piwowar, Vision – 9% (microarray data)†
    - Henneken, Accomazzi – 20% (astronomy) #

Edwin Henneken, Alberto Accomazzi, (2011) Linking to Data - Effect on Citation Rates in Astronomy. http://arxiv.org/abs/1111.3618

* Amy Pienta, George Alter, Jared Lyle, (2010) The Enduring Value of Social Science Research: The Use and Reuse of Primary Research Data. http://hdl.handle.net/2027.42/78307

† Piwowar H, Vision TJ. (2013) Data reuse & the open data citation advantage. PeerJ PrePrints 1:e1v1 http://dx.doi.org/10.7287/peerj.preprints.1v1

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Data management plans

- Decades-old discipline to aid with:

➢ Making informed decisions that anticipate & avoid problems

➢ Avoiding duplication, data loss and security breaches

➢ Providing guidelines for everyone working on the project

➢ Making it more likely that your data has future value

➢ Give clarity about responsibilities and changes of custody

➢ Helping you and colleagues make better use of your data, now and in the future

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Evolving, actionable plans

- Plans that aid in documentin~~g~~ responsibility

- Plans that aid capacity plann~~ing~~ providers

- Plans that become records of actions completed

- Plans that can interact with other administrative & infrastructure systems

- Use & recording of researcher, data, repository, grant, funder IDs and more

"… I have always found that plans are useless, but planning is essential"
            - Dwight D. Eisenhower

DMPONLINE

Common themes in DMPs

1. Description of data to be collected / created
   (i.e. content, type, format, volume…)

2. Standards / methodologies for data collection & management

3. Ethics and Intellectual Property
   (highlight any restrictions on data sharing e.g. embargoes, confidentiality)

4. Plans for data sharing and access
   (i.e. how, when, to whom)

5. Strategy for long-term preservation

One structure for a plan

- Introduction & context

- Legal, rights & ethical issues

- Access, data sharing & re-use

- Data collection / development methods

- Data standards

- Short-term data storage & data management

- Deposit & long-term preservation

- Resourcing

- Compliance & review

- Agreement/ratification by stakeholders

For some areas of research, or at some stages of the project, some of these areas will be empty or irrelevant – that doesn't matter

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Tips on writing DMPs

Keep it simple, short and specific

Seek advice - consult and collaborate

Base plans on available skills and support
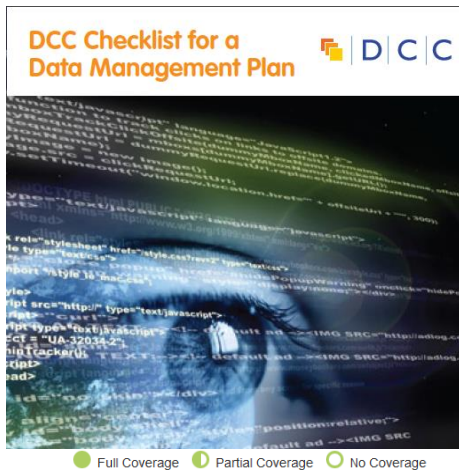
Make sure implementation is feasible

Justify any resources or restrictions needed

Don't overthink it

www.youtube.com/watch?v=7OJtiA53-Fk

The DMPonline service

- Launched in 2010 – initially aimed at (UK) researchers

- Built on previous work by DCC providing guidance on DMPs & analysing funder requirements

DCC Checklist for a Data Management Plan | D|C|C

● Full Coverage ◐ Partial Coverage ○ No Coverage

Research Funders	Policy Coverage		Policy Stipulations					Support Provided			
	Published outputs	Data	Time limits	Data plan	Access/ sharing	Long-term curation	Monitoring	Guidance	Repository	Data centre	Costs
AHRC	●	●	●	●	●	◐	○	●	○	◐	◐
BBSRC	●	●	●	●	●	●	●	●	●	◐	●
CRUK	●	●	●	●	●	●	●	◐	●	○	○
EPSRC	●	●	●	◐	●	●	●	◐	○	○	●
ESRC	●	●	●	●	●	●	●	●	●	●	◐
MRC	●	●	●	●	●	○	●	◐	●	○	◐
NERC	●	●	●	●	●	●	●	●	●	●	◐
STFC	●	●	●	●	●	●	●	◐	●	◐	◐
Wellcome Trust	●	●	●	●	●	●	●	●	●	◐	●

A Digital Curation Centre 'working level' guide | D|C|C JISC

How to Develop a Data Management and Sharing Plan

Sarah Jones (DCC)

DMPONLINE

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How the tool works

Click to write a generic DMP

Or choose your funder to get their specific template

Pick your uni to add local guidance and to get their template if no funder applies

Choose any additional optional guidance

2018-06-06

Service evolution

- Generic & funder template questions, with DCC guidance associated with each

- Features aimed at organisations and funders

  - Administrative users
  - Customisable guidance, templates, appearance
  - Statistics
  - SSO
  - API

- Multiple-phase plans

- Collaborative features – co-writing, review

- Integration with other services

- Multi-lingual support

- Increasing use of PIDs (people, organisations, services,…)
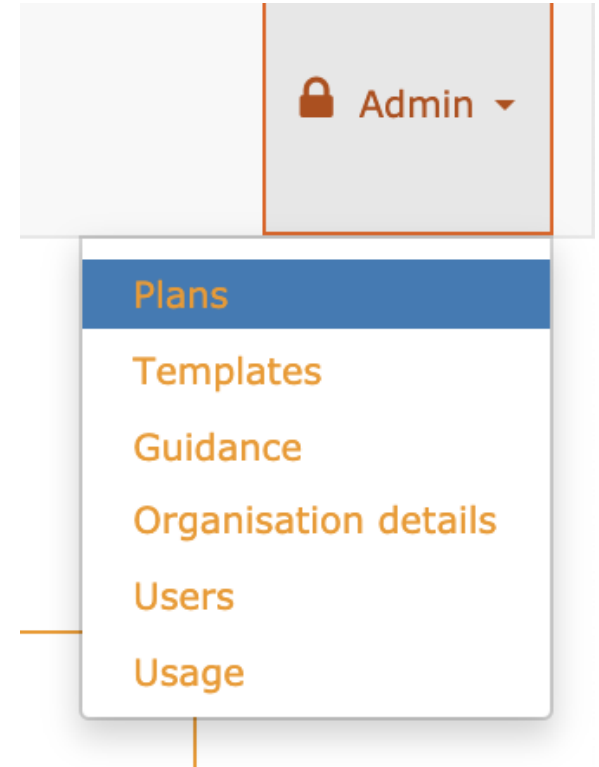
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Service now

- Free to researchers – and will continue to be

- Without institutional subscription:

  - No local guidance
  - No local templates
  - Generic DCC branding
  - No ability to ask for review
  - No single sign-on
  - No visibility to you
  - No statistics
  - No access to data via API
  - No integration with local or funder systems

As an administrator…

Admin menu from the home page:

- **Plans** provides access to all institutional Data Management Plans. You can view individual plans and download metadata on them all.
- **Templates** allows you to create your own templates and customise funder templates
- **Guidance** allows you to create themed guidance to apply across all templates in the tool
- **Organisational details** allows you to edit your profile by adding logos and links, and enable the DMP feedback / review option
- **Users** allows you to see details for all users in your organisation
- **Usage** provides statistics on the number of users and plans created per month by users in your organisation

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Multiple admins & roles per organisation

You can select individual organisational users from the 'Users' option to manage privileges

Manage user privileges: assign privileges to others in your organisation; you can only assign the privileges you have yourself

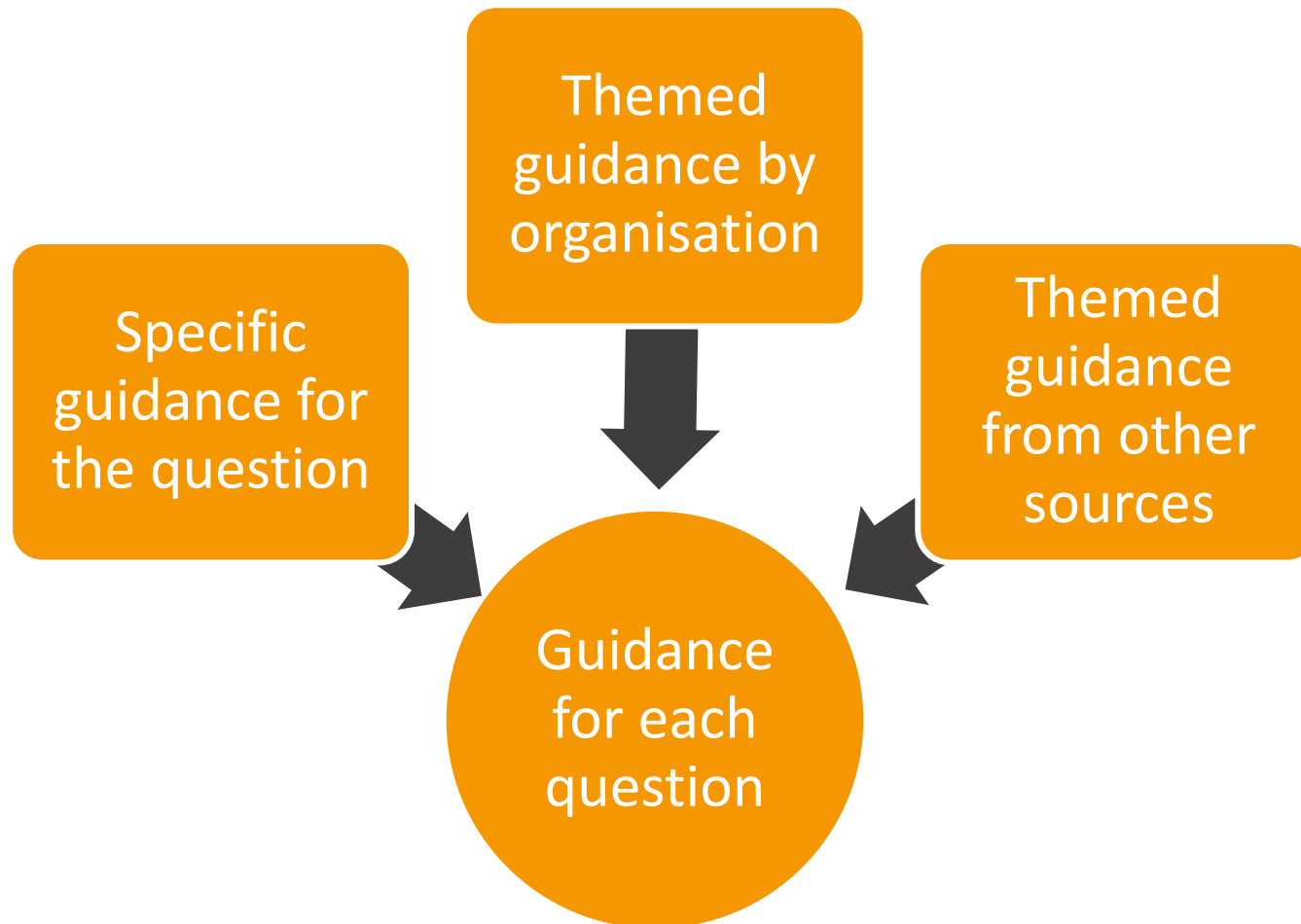**Manage templates:** create new organisational templates, edit existing ones, and customise funder templates

Manage guidance: create and edit guidance

Use API: allows your colleague through their profile to get API token and grants rights to harvest info from the tool

Manage organisation details: edit organisational details (name, URLs, contact email, logo)

Review plans: allow your colleagues to provide feedback on the plans through the comment section

Editing privileges for Magdalena Drafiova ✕

☐ **Organisational admin privileges**
    ☐ Manage user privileges
    ☐ Manage templates
    ☐ Manage guidance
    ☐ Use API
    ☐ Manage organisation details
    ☐ Review plans

Save   Cancel

DMPONLINE

Add local guidance using themes

Themed guidance by organisation

Specific guidance for the question

Themed guidance from other sources

Guidance for each question

Feedback and review of plans

- Customisable option – when enabled, users can ask for feedback

- Customisable message for users when this is used (we suggest including timescale for response)

- Notification sent to a customisable address

- Dashboard showing status of current & completed requests

DMPonline - Tutorials Plans

Notifications

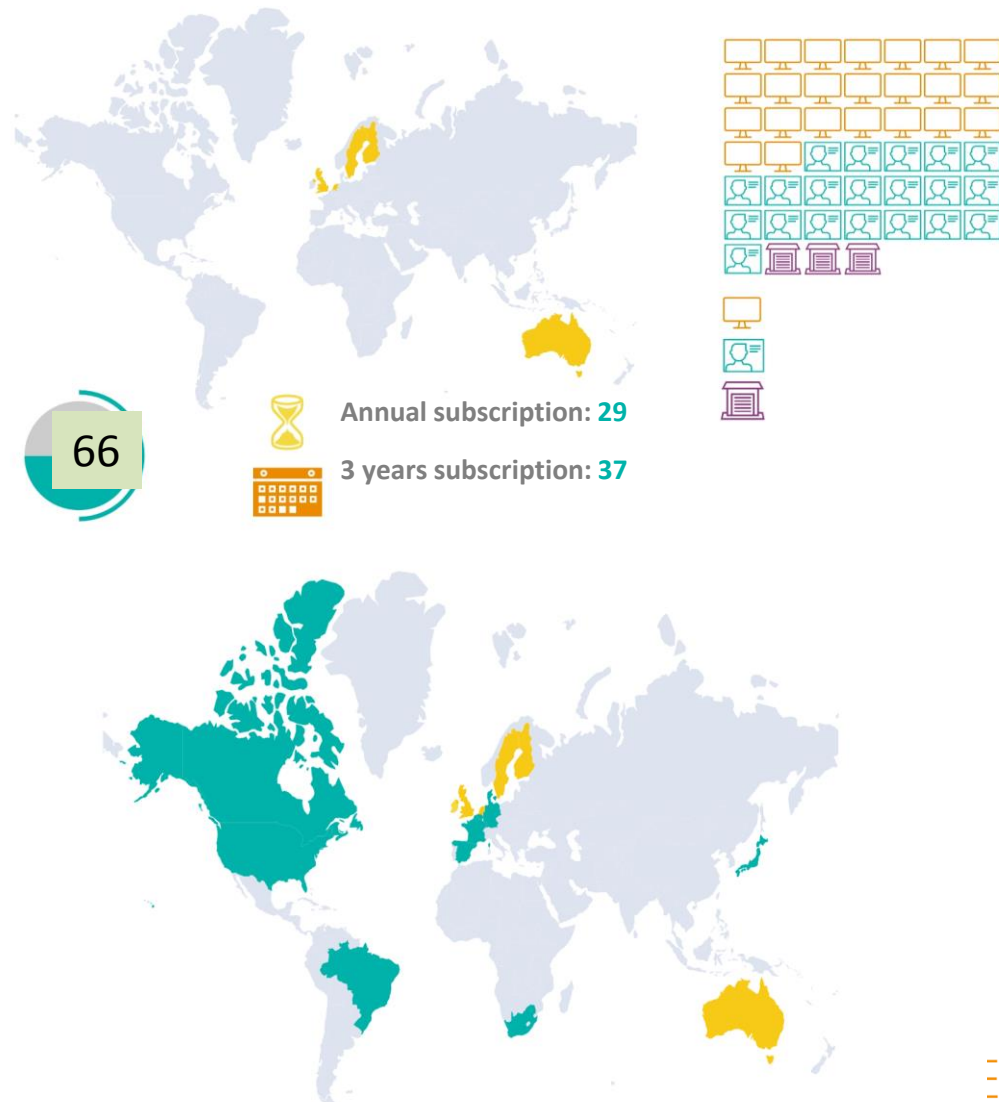| Plan	Requestor	Type	Actions
DMPonline Tutorials first plan from Louisa	Louisa Test	Feedback requested	Complete
test	Magdalena Drafiova	Feedback requested	Complete
test	Magdalena Drafiova	Feedback requested	Complete

Information on usage

- Access to statistics on users and plans

- Over time, by funder,…

- Via web interface and API

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DMPonline in numbers

- DMPonline is in 83 countries – 60,000 registered users at 300 organisations

- The service (DMPonline) is paid for by research organisations and funding bodies – 66 so far

- The code (DMPRoadmap) is still open-source

- It's still free to use for researchers, whether or not their organisation or funder subscribes

- The development and service team continues to grow

66

Annual subscription: **29**

3 years subscription: **37**

What's involved?

- Charges range from £700 to £12,000/year for UK organisations

- 1-year & 3-year subscriptions available

- Standard contract & service agreements for basic or enhanced service

- User group, training, help desk and support all included

- Contact dmponline@dcc.ac.uk to learn more

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DMPonline and Horizon Europe

- Ensures your users are using valid templates & seeing guidance appropriate to Commission requirements

- Supports H2020 & HE multi-stage plans

- Allows you to provide customised guidance to users

- Allows you to review & comment on plans

- Supports collaborative authorship

- Integrates with services such as re3data

- Recognised by the Commission & listed in EOSC services portal

- Reduces burden on you and your researchers

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