Horizon Europe Programme Proposal Template

Application forms (Part A)

Project proposal – Technical description (Part B)

Version 1.0 dd Month yyyy

Disclaimer

This document is aimed at informing potential applicants for Horizon Europe funding. It serves only as an example. The actual Web forms and templates, provided in the online submission system under the Funding and Tenders Portal, might differ from this example.

Structure of the Proposal

The proposal contains two parts:

- Part A of the proposal is generated by the IT system. It is based on the information entered by the participants through the submission system in the Funding & Tenders Portal. The participants can update the information in the submission system at any time before final submission.
- Part B of the proposal is the narrative part that includes three sections that each correspond to an evaluation criterion. Part B needs to be uploaded as a PDF document following the templates downloaded by the applicants in the submission system for the specific call or topic. The templates for a specific call may slightly differ from the example provided in this document.

The electronic submission system is an online wizard that guides you step-by-step through the preparation of your proposal. The submission process consists of 6 steps:

- Step 1: Logging in the Portal
- Step 2: Select the call, topic and type of action in the Portal
- Step 3: Create a draft proposal: Title, acronym, summary, main organisation and contact details
- Step 4: Manage your parties and contact details: add your partner organisations and contact details.
- Step 5: Edit and complete web forms for proposal part A and upload proposal part B
- Step 6: Submit the proposal

- > Instructions and footnotes in green will not appear in the text generated by the IT system.
- For options [in square brackets]: the option that applies will be automatically shown in the IT system (Part A) or included in the template of Part B offered by the IT system or you must select the appropriate value from a predefined list.
- For fields in [grey in square brackets] (even if they are part of an option as specified in the previous item): enter the appropriate data in the IT system.
- > Data in coloured fields will be prefilled by the IT tool.

HISTORY OF CHANGES		
Version Publication date		Changes
1.0	dd.mm.yyyy	Initial version
		•

Application Forms

Please check our wiki for help on navigating the form.

Horizon Europe

Application forms (Part A)

Topic:

Type of action:

Type of Model Grant Agreement:

Proposal number:

Proposal acronym:

Table of contents

Section	Title	Action
1	General information	
2	Participants	
3	Budget	
4	Ethics and security	
5	Other questions	

The forms must be filled in for each proposal using the templates available in the Submission System. Some data fields in the forms are pre-filled based on the previous steps in the Submission wizard.

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Application Forms	
Proposal ID XXXXXXXXX	Acronym XXXXXXX

1 - General information

Topic	Type of action				
Call	Acronym is mandatory				
Proposal title	Max 200 characters (with spaces). Must be understandable for non-specialists in y	our field.			
·	Note that for technical reasons, the following characters are not accepted in the Proposal Title and will be	e removed:	<>" &		
Duration in months	Estimated duration of the project in full months.				
Fixed keyword					
[
Fixed keyword	SDG?				
Free keywords	Enter any words you think give extra detail of the scope of your proposal (max 200 spaces).	character	s with		
Abstract					
ne abstract should provide the reader with a clear understanding of the objectives of the proposal, how they will be achieved, and their relevance to be Work Programme. This summary will be used as the short description of the proposal in the evaluation process and in communications to the organized organized parties. It must therefore be short and precise and should not contain confidential formation. Use plain typed text, avoiding formulae and other special characters. If the proposal is written in a language other than English, please clude an English version of this abstract in the Part B (technical description) of the proposal.					
for proposals un	al (or a very similar one) been submitted in the past 2 years in response to a call der any EU programme, including the current call? A 'similar' proposal or contract is one current one in minor ways, and in which some of the present consortium members are involved.	O Yes	○ No		
	proposal reference or contract number	XXXXX-	×		
Please give the	proposal reference of contract number	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	^		

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Declarations

These declarations can be filled in by any coordinator contact(s). All declarations are mandatory.

1)	We declare to have the explicit consent of all applicants on their participation and on the content of this proposal.	
2)	We confirm that the information contained in this proposal is correct and complete and that none of the project activities have started before the proposal was submitted (unless explicitly authorised in the call conditions).	
3)	We declare: - to be fully compliant with the eligibility criteria set out in the call - not to be subject to any exclusion grounds under the <u>EU Financial Regulation 2018/1046</u> - to have the financial and operational capacity to carry out the proposed project.	
4)	We acknowledge that all communication will be made through the Funding & Tenders Portal electronic exchange system and that access and use of this system is subject to the Funding & Tenders Portal Terms & Conditions .	
5)	We have read, understood and accepted the Funding & Tenders Portal Terms & Conditions and Privacy Statement that set out the conditions of use of the Portal and the scope, purposes, retention periods, etc. for the processing of personal data of all data subjects whose data we communicate for the purpose of the application, evaluation, award and subsequent management of our grant, prizes and contracts (including financial transactions and audits).	
6)	We declare that the proposal complies with ethical principles (including the highest standards of research integrity as set out in the ALLEA European Code of Conduct for Research Integrity , as well as applicable international and national law, including the Charter of Fundamental Rights of the European Union and the European Convention on Human Rights and its Supplementary Protocols. Appropriate procedures , policies and structures are in place to foster responsible research practices, to prevent questionable research practices and research misconduct, and to handle allegations of breaches of the principles and standards in the Code of Conduct.	
7)	We declare that the proposal has an exclusive focus on civil applications (activities intended to be used in military application or aiming to serve military purposes cannot be funded). If the project involves dual-use items in the sense of Regulation 428/2009 , or other items for which authorisation is required, we confirm that we will comply with the applicable regulatory framework (e.g. obtain export/import licences before these items are used).	
8)	 We confirm that the activities proposed do not aim at human cloning for reproductive purposes; intend to modify the genetic heritage of human beings which could make such changes heritable (with the exception of research relating to cancer treatment of the gonads, which may be financed), or intend to create human embryos solely for the purpose of research or for the purpose of stem cell procurement, including by means of somatic cell nuclear transfer. lead to the destruction of human embryos (for example, for obtaining stem cells) 	
9)	We confirm that for activities carried out outside the Union, the same activities would have been allowed in at least one EU Member State	
10)	[Additional option for LUMP SUM Grants: For Lump Sum Grants with a detailed budget table: We understand and accept that the EU lump sum grants must be reliable proxies for the actual costs of a project and confirm that the detailed budget for the proposal has been established in accordance with our usual cost accounting practices and in compliance with the basic eligibility conditions for EU actual cost grants (see <u>AGA — Annotated Grant Agreement</u> , art 6) and exclude costs that are	

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Proposal ID XXXXXXXXX

Acronym XXXXXXX

ineligible under the Programme. Purchases and subcontracting costs must be done taking into account best value for money and must be free of conflict of interest.]

The coordinator is only responsible for the information relating to their own organisation. Each applicant remains responsible for the information declared for their organisation. If the proposal is retained for EU funding, they will all be required to sign a declaration of honour.

False statements or incorrect information may lead to administrative sanctions under the EU Financial Regulation.

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2 - Participants

List of participating organisations

#	Participating Organisation Legal Name	Country
1		
2		
3		

Coordinator contacts have the rights to:

- add, delete, edit and re-order partners in the consortium
- add, delete, edit and re-order contact points for those organisations
- edit all sections of the administrative forms
- upload, delete, view and download Part B and Annexes (when required for the call)
- submit the proposal

Participant contacts may:

- view all the information in this screen, but not edit it
- edit only the section for their organisation in the administrative forms (including budget)
- view the entire administrative forms
- view/download the Part B and other Annexes

You can manage the list of organisations and access rights of persons at Step 4 of the submission process. You may identify and give access to as many contact persons of the selected organisations as you wish. The identification is based upon the e-mail address of the person. When you add a contact person, you will be prompted to supply the contact details: name, e-mail, phone.

<u>Person in charge of the proposal (main contact person)</u>: Each organisation needs to have one main contact person identified; the main contact person will have to fill in full contact details in the administrative form. The 'Main Contact Person' for the coordinating organisation (Participant no. 1) will become the primary contact person for the Services. Other contact persons may also be identified and may receive read-only or full access rights. Contact persons with full access rights of the coordinator (Participant no. 1) will be called 'Coordinator contacts' in the Funding & Tenders Portal, while for the other participants 'Participant Contacts'; contact persons with read-only rights will be called 'Team Members'. Other contact persons are listed with basic details in the administrative form.

Access rights: The main contact person and contact persons of the coordinator with full access rights have the same level of rights: they can manage the list of participants and contacts, edit any part of the administrative part of the proposal and upload any attachments (eg. Part B - technical description), and submit the proposal. Contact persons with read-only rights can only view/download the information. Participant contacts with full access rights can only edit their section of the administrative form and view all proposal data.

Access rights can be revoked by the Coordinating Organisation contacts. The person who created the proposal cannot be deleted.

<u>Invitation</u>: All contacts will receive an e-mail and a notification to the Portal about the invitation to the proposal upon saving the data at Step 4 of the submission process.

Organisation data

The section shows the administrative data of the participating organisation as registered and/or validated in the central registry of organisations of the European Commission, linked to the given PIC number. Data in blue is read-only, modification is not possible in the proposal forms. For more information on how to modify this information, please visit the online manual on the participant register.

Short name Address of the organisation Street Town Postcode	
Street Town	
Town	
Postcode	
Country	
Webpage	
Specific legal statuses	
Read more about legal statuses.	
Publicunknown Legal personunknown	
Non-profitunknown	
International organisationunknown	
International organisation of European interest unknown	
Secondary or Higher education establishment unknown	
Research organisationunknown	
SME status	
The enterprise data of the organisation is taken from the Beneficiary Register. Changes to the self-declared or self-assessed performed by the self-registrant or by the LEAR (Legal Entity Appointed Representative) in the Beneficiary Register.	I SME data can be
SME self declared statusunknown	
SME self-assessmentunknown	
SME validation smeunknown	

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Application Forms		
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Gender equality plan

Only Public bodies, Higher education establishments and Research organisations must answer this question. Be aware that if the proposal is selected, having a Gender Equality Plan will be necessary before the grant signature.		
Does the organisation have a Gender Equality Plan (GEP) covering the elements listed below?	s (No
Minimum requirements (building blocks) for a GEP		
Public GEP: formal document published on the institution's website and signed by the top management, addressing the following issues:		
Dedicated resources: commitment of human resources and gender expertise to implement it.		
 Data collection and monitoring: sex/gender disaggregated data on personnel and students and annual reporting based on indicators. 		
 Training: Awareness raising/trainings on gender equality and unconscious gender biases for staff and decision-makers. 		
Minimum areas to be covered and addressed via concrete measures and targets:		
work-life balance and organisational culture;		
o gender balance in leadership and decision-making;		
o gender equality in recruitment and career progression;		
 integration of the gender dimension into research and teaching content; 		
measures against gender-based violence including sexual harassment.		

Application Forms		
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Departments carrying of	ut the proposed work
The information serves mainly statis account.	stical purposes. For determining the eligibility of the proposal, the official address of the organisation is taken into
Department 1	
Department name	not applicable
_	Same as organisation address
Street	Please enter street name and number
Town	
Postcode	
Country	
Two participants (legal entities) are dientificial entity is under the same direntificial entity directly or indirectly on a legal entity directly or indirectly entity and indirectly or indirectly and legal entity A controls legal entity B in the A, directly or indirectly, holds more associates of B, or	ocies with other participants of the proposal. sependent on each other where there is a controlling relationship between them: sect or indirect control as another legal entity; or sontrols another legal entity; or controlled by another legal entity. Control:
(a) the same public investment corport the nominal value of the issued sha	egal entities shall not in themselves be deemed to constitute controlling relationships: ration, institutional investor or venture-capital company has a direct or indirect holding of more than 50 % are capital or a majority of voting rights of the shareholders or associates; when or supervised by the same public body.
Type of link	Participant
[Same group]	Select one participant from the list of participants
/Is controlled by/	

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Main contact person			
It is the main scientist or team leader in charge of the EU services will contact concerning this proposal (e. negotiations). The data in blue is read-only. Details (wizard.	g. for additional information, invitat	ion to hearings, sending of eval	luation results, convocation to
Title	Gender	◯ Woman ◯ Man	Non binary
First name		Last name	
E-mail			
Position in org.	Please indicate the position	n of the person	
Department	☐ Same as organisation	address	Same as organisation
Street			
Town		Post code]
Country			
Website			
Phone 1	Phone 2		
Other contact persons			
First name	Last name	e-mail	Phone

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Application Forms			
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Researchers involved in the proposal

It needs instructions that we only need names of researchers and add a link with definition of researcher.

Include also person in charge of the proposal if a researcher

The table shows the data of the researchers as registered in the person profile of the portal. Data is read-only; modification is not possible in the proposal forms. For more information on how to modify this information, please visit the online manual on the participant registry.

Title	First Name	Last Name	Gender	Nationality	E-mail	Career stage ¹	Role of researcher (in the project)	Reference Identifier	Type of identifier
			[Woman] [Man] [Non-binary]			[Category A – Top grade researcher] [Category B – Senior researcher] [Category C – Recognised researcher] [Category D – First stage researcher]	[Leading] [Team member]		[ORCID] [Researcher Id] [Other - specify]

¹ Career stages as defined in Frascati 2015 manual:

Category A – Top grade researcher: the single highest grade/post at which research is normally conducted. Example: 'Full professor' or 'Director of research'.

Category B – Senior researcher: Researchers working in positions not as senior as top position but more senior than newly qualified doctoral graduates (IsCED level 8). Examples: 'associate professor' or 'senior researcher' or 'principal investigator'.

Category C – Recognised researcher: the first grade/post into which a newly qualified doctoral graduate would normally be recruited. Examples: 'assistant professor', 'investigator' or 'post-doctoral fellow'.

Category D – First stage researcher: Either doctoral students at the IsCED level 8 who are engaged as researchers, or researchers working in posts that do not normally require a doctorate degree. Examples: 'PhD students' or 'junior researchers' (without a PhD).

Application Forms		
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Role of participating organisation in the project Applicants may select more than one option. For guidance on the different options, please go to the online manual on the Funding & Tenders.	ders Portal.
Project management	
Communication, dissemination and engagement	
Provision of research and technology infrastructure	
Co-definition of research and market needs	
Civil society representative	
Policy maker or regulator, incl. standardisation body	
Research performer	
Technology developer	
Testing/validation of approaches and ideas	
Prototyping and demonstration	
IPR management incl. technology transfer	
Public procurer of results	
Private buyer of results	
Finance provider (public or private)	
Education and training	
Contributions from the social sciences or/and the humanities	
Other Specify (50 character limit):	

List of up to 5 publications, widely-used datasets, software, goods, services, or any other achievements relevant to the call content.

Type of achievement	Short description
[Publication]	Key elements of the achievement, including a short qualitative assessment of its impact and (where available) its digital object identifier (DOI) or other type of persistent
[Dataset] [Software]	identifier (PID). Publications, in particular journal articles, are expected to be open access. Datasets are
[Good]	expected to be FAIR and 'as open as possible, as closed as necessary'.
[Service]	

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Application Forms		
Proposal ID XXXXXXXXX	Acronym XXXXXXX	Participant short name: XXXX
[Other achievement]		
List of up to 5 most re	levant previous projects or activities	, connected to the subject of this proposal
Name of Project or Activity	Short description	
	nificant infrastructure and/or any ma	jor items of technical equipment, relevant to
the proposed work		

Application Forms	
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3 – Budget for the proposal

													Es	timated income)		
						Estimated	d expenditur	e			Requ	uested EU cont	ribution	Revenues		ources of ncing	
			Estimated eligible costs				EU contribution to eligible costs						Total estimate d income				
			A. Personnel costs/€	B. Subcontracti ng costs/€	C. I	Purchase co	osts	D. Other cost categories	E. Indirect costs/€ (e) = 25% *	Total eligible costs	Funding rate	Maximum EU contributio n to	Requested EU contributio n to	Income generated by the	Financial contributi ons	Own resource s	(s)=(n)
No	Participant name	Country	(a1)	(b)	C.1 Travel and subsiste nce/€	C.2 Equipm ent/€	C.3 Other goods, works and	D.X [specific cost category] /€ (dx)	[(a1) + (c1) + (c2) + (c3) + (d6) + (d7) + (d8) + (d10) + (d11)]	(h) = (a1) + (b) + (c1) + (c2) + (c3) + (d) + (e)	(U)	eligible costs (I) = (U) * (h)	eligible costs/€ (Requeste d grant amount)	action (o)	(q)	(r)	+(o)+(p)+ (q) + (r)
					(c1)	(02)	services /€ (c3)	(dx)	, , ,				(m) (n)				
1	Participant 1	NL															
2	Participant 2	LB															
	Affiliated Entity	LB															
3	Participant 3	DE															
	Associated Partner	AR															
4	Participant 4 (without funding)	US															
	Total																

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Application Forms	
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Possible 'Other cost categories' for Horizon Europe

				Estimated project expenditure									
				Estimated eligible costs									
							D. 0	Other cost categor	ies				
No	Participant name	Count ry	D.1 Financial support to third parties (Actual costs) (d1)	D.2 Internally invoiced goods and services (Unit costs - usual accounting practices)	[D.3 Transnation al access to research infrastructure s (Unit costs)	[D.4 Virtual access to research infrastructure s (Unit costs)	[D.5 PCP/PPI procurement costs (Actual costs) (d5)]	/D.6 European Partnership Cofund additional coordination and networking costs (Unit costs)	/D.7 European Cofund staff mobility costs (Unit costs)	(D.8 ERC additional funding (Actual costs) (d8)]	[D.9 ERC additional funding (subcontracting and internal invoices) (Actual costs)	[D.10 EIC portfolio activities (? costs) (d10)]	/D.11 EIC pre-transition activities (? costs) (d11)]
1	Participant 1	NL											
2	Participant 2	LB											
	Affiliated Entity	LB											
3	Participant 3	DE											
	Associated Partner	AR											
4	Participant 4 (without funding)	US											
	Total												

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4 - Ethics

Ethics issues table

This table should be completed as an essential part of your proposal. Please go through the table and indicate which elements concern your proposal by answering 'Yes' or 'No'. If you answer 'Yes' to any of the questions,

- indicate in the adjacent box at which page in your full proposal further information relating to that ethics issue can be found, and
- provide additional information on that ethics issue in the Ethics Self-Assessment section.

For more information on each of the ethics issues and how to address them, including detailed legal references, see the guidelines 'How to Complete your Ethics Self-Assessment'.

1. HUMAN	EMBRYONIC STEM CELLS AND HUMAN EMBRYOS		Page		
Does this activity involve Human Embryonic Stem Cells (hESCs)?					
If YES:	Will they be directly derived from embryos within this project?	O Yes O No			
	Are they previously established cells lines?	O Yes O No			
	Are the cell lines registered in the European registry for human embryonic stem cell lines?	O Yes O No			
Does this a	activity involve the use of human embryos?	© Yes © No			
If YES:	Will the activity lead to their destruction?	O Yes O No			
2. HUMAN	S		Page		
Does this a	activity involve human participants?	O Yes O No			
If YES:	Are they volunteers for nonmedical studies (e.g. social or human sciences research)?	O Yes O No			
ii iLS.	Are they healthy volunteers for medical studies?	○ Yes ○ No			
	Are they patients for medical studies?	CYes O No			
	Are they potentially vulnerable individuals or groups?	O Yes O No			
	Are they children/minors?	O Yes O No			
	Are they other persons unable to give informed consent?	O Yes O No			
	activity involve interventions (physical also including imaging technology, behavioural etc.) on the study participants?	O Yes O No			
If YES:	Does it involve invasive techniques?	O Yes O No			
	Does it involve collection of biological samples?	O Yes O No			
Does this activity involve conducting a clinical study as defined by the Clinical Trial Regulation (EU 536/2014)? (using pharmaceuticals, biologicals, radiopharmaceuticals, or advanced therapy medicinal products)					

If YES:	Is it a clinical trial?		○ Yes ○ No		
	Is it a low-intervention clinical tr	ial?	O Yes O No		
3. HUMAN	CELLS / TISSUES (not covered by s	ection 1)		Page	
Does this activity involve the use of human cells or tissues?					
If YES:	Are they human embryonic or foeta	○ Yes ○ No			
	Are they available commercially?	○ Yes ○ No			
	Are they obtained within this project	xt?	○ Yes ○ No		
	Are they obtained from another pro	ject, laboratory or institution?	O Yes O No		
	Are they obtained from biobank?		O Yes O No		
4. PERSON	AL DATA			Page	
Does this a	this activity involve processing of personal data?				
If YES:		pecial categories of personal data (e.g.: sexual and health data, political opinion, religious or	O Yes O No		
	If YES: Does it involve proce	ssing of genetic, biometric or health data?	O Yes O No		
		c monitoring of individuals, or processing of fata or intrusive methods of data processing tracking etc.)?	O Yes O No		
	ivity involve further processing of previo ata sets or sources, merging existing da	usly collected personal data (including use of ta sets)?	O Yes O No		
Is it planned	to export personal data from the EU to r	non-EU countries?	O Yes O No		
If YES:	Specify the type of personal data and o	countries involved:			
Is it planned another non-		untries into the EU or from a non-EU country to	O Yes O No		
If YES:	Specify the type of personal data and countries involved				
Does this activity involve the processing of personal data related to criminal convictions or offences?					
5. ANIMALS					
Does this a	ctivity involve animals?		O Yes O No		
If YES:	Are they vertebrates?		O Yes O No		

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	Are they non-human primates (NHP)?	O Yes O No		
	Are they genetically modified?	O Yes O No		
	Are they cloned farm animals?	O Yes O No		
	Are they endangered species?	O Yes O No		
6. NON-EU (COUNTRIES		Page	
Will some o	f the activities be carried out in non-EU countries?	O Yes O No		
If YES:	Specify the countries:			
	n-EU countries are involved, do the activities undertaken in these countries raise nics issues?	OYes ONo		
If YES:	Specify the countries:			
	d to use local resources (e.g. animal and/or human tissue samples, genetic material, human remains, materials of historical value, endangered fauna or flora samples,	O Yes O No		
	d to import any material (other than data) from non-EU countries into the EU or from ountry to another non-EU country? For data imports, see section 4.	O Yes O No		
If YES:	Specify material and countries involved:			
Is it planned exports, see	d to export any material (other than data) from the EU to non-EU countries? For data e section 4.	O Yes O No		
If YES:	Specify material and countries involved:			
	ctivity involves <u>low and/or lower-middle income countries</u> ? (if yes, detail the benefitons planned in the self-assessment)	O Yes O No		
Could the s	ituation in the country put the individuals taking part in the activity at risk?	O Yes O No		
7. ENVIRO	NMENT, HEALTH and SAFETY		Page	
Does this activity involve the use of substances or processes that may cause harm to the environment, to animals or plants (during the implementation of the activity or further to the use of the results, as a possible impact)?				
Does this activity deal with endangered fauna and/or flora / protected areas?				
Does this activity involve the use of substances or processes that may cause harm to humans, including those performing the activity (during the implementation of the activity or further to the use of the results, as a possible impact)?				
8. ARTIFICIAL INTELLIGENCE				
yes, detail i	ctivity involve the development, deployment and/or use of Artificial Intelligence? (if n the self-assessment whether that could raise ethical concerns related to human alues and detail how this will be addressed).	O Yes O No		

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9. OTHER ETHICS ISSUES		Page	
Are there any other ethics issues that should be taken into consideration?			
Please specify: (Maximum number of characters allowed: 1000)			

I confirm that I have taken into account all ethics issues above and that, if any ethics issues apply, I will complete the ethics self-assessment as described in the guidelines 'How to Complete your Ethics Self-Assessment'.

.

ETHICS SELF-ASSESSMENT

If you have entered any issues in the ethics issue table, you must perform an ethics self-assessment in accordance with the guidelines "How to Complete your Ethics Self-Assessment" and complete the table below.

Ethical dimension of the objectives, methodology and likely impact

Explain in detail the identified issues in relation to:

- objectives of the activities (e.g. study of vulnerable populations, etc.)
- methodology (e.g. clinical trials, involvement of children, protection of personal data, etc.)
- the potential impact of the activities (e.g. environmental damage, stigmatisation of particular social groups, political or financial adverse consequences, misuse, etc.)

Compliance with ethical principles and relevant legislations

Describe how the issue(s) identified in the ethics issues table above will be addressed in order to adhere to the ethical principles and what will be done to ensure that the activities are compliant with the EU/national legal and ethical requirements of the country or countries where the tasks are to be carried out. It is reminded that for activities performed in a non-EU countries, they should also be allowed in at least one EU Member State.

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Security

Security issues table

Please indicate, by answering Yes or No to all of the questions in the below table, if the proposed activity will use and/or generate information which might raise security concerns. If an answer is Yes, then indicate in the adjacent box at which page in your full proposal further information relating to that issue can be found.

1. EU class	fied information (EUCI) ²		Page	
Does this a disclosure (ctivity involve information and/or materials requiring protection against unauthorised EUCI)?	O Yes O No		
If YES:	Is the activity going to use classified information as background ³ information?	○ Yes ○ No		
	Is the activity going to generate EU classified foreground ⁴ information as results?	O Yes O No		
Does this a	ctivity involve HE associated and/or third countries?	O Yes O No		
If YES:	Do participants from non-EU countries need to have access to EUCI?	O Yes O No		
	Do the non-EU countries concerned have a security of information agreement with the EU	O Yes O No		
2. MISUSE			Page	
Does this a	ctivity have the potential for misuse of results?	O Yes O No		
If YES:	Does the activity provide knowledge, materials and technologies that could be channelled into crime and/or terrorism?	O Yes O No		
120.	Could the activity result in the development of chemical, biological, radiological or nuclear (CBRN) weapons and the means for their delivery?			
3. OTHER	SECURITY ISSUES		Page	
Does this activity involve information and/or materials subject to national security restrictions? O Yes O No				
If yes, please specify: (Maximum number of characters allowed: 1000)				
Are there any other security issues that should be taken into consideration?				
If yes, plea	ase specify: (Maximum number of characters allowed: 1000)			

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² According to the Commission Decision (EU, Euratom) 2015/444 of 13 March 2015 on the security rules for protecting EU classified information, "European Union classified information (EUCI) means any information or material designated by an EU security classification, the unauthorised disclosure of which could cause varying degrees of prejudice to the interests of the European Union or of one or more of the Member States".

³ Classified background information is information that is already classified by a country and/or international organisation and/or the EU and is going to be used by the project. In this case, the project must have in advance the authorisation from the originator of the classified information, which is the entity (EU institution, EU Member State, third state or international organisation) under whose authority the classified information has been generated.

⁴ EU classified foreground information is information (documents/deliverables/materials) planned to be generated by the project and that needs to be protected from unauthorised disclosure. The originator of the EUCI generated by the project is the European Commission.

5 – Other questions

Two-stage calls

The full stage-2 proposal must be consistent with the short outline proposal submitted to the stage 1 – in particular with respect to the proposal characteristics addressing the concepts of excellence and impact.

Are the	Are there substantial differences compared to the stage-1 proposal?					
	showed only in answer is Yes: st the substantial differences, and ind	icate the reasons				
	Partnership	List the substantial differences and indicate the reasons				
	Budget	List the substantial differences and indicate the reasons				
	Approach	List the substantial differences and indicate the reasons				
rovided 'clinical students	d for proposals including clir	alls with clinical trials: Essential information to be nical trials / studies / investigations ing a substantial amount of work related to the observation of, data collection frodual patients. It includes but is not limited to clinical trials in the sense of the EU				
re clinica	al studies / trials / investigations incl	uded in the work plan of this project?	O Yes	O No		
	ad the dedicated annex 'Essential informat n the up-load section for Part B and Annexes,	ion for clinical studies / trials / investigations' (a Word template is provided .	under 'dow	nload		
is docume	ent should include the relevant information of	each clinical study / trial / investigation included in the work plan of this project.				
Please		a unique identifier to each clinical study / trial / investigation, ce / identifier in the other parts of the proposal	Rem	dd nove		

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Proposal template Part B: technical description

(for full proposals: single stage submission procedure and 2nd stage of a two-stage submission procedure)

This template is to be used in a single- stage submission procedure or at the 2nd stage of a two-stage submission procedure.

The structure of this template must be followed when preparing your proposal. It has been designed to ensure that the important aspects of your planned work are presented in a way that will enable the experts to make an effective assessment against the evaluation criteria. Sections 1, 2 and 3 each correspond to an evaluation criterion.

Please be aware that proposals will be evaluated as they were submitted, rather than on their potential if certain changes were to be made. This means that only proposals that successfully address all the required aspects will have a chance of being funded. There will be no possibility for significant changes to content, budget and consortium composition during grant preparation.

🔼 **Page limit**: The title, list of participants and sections 1, 2 and 3, together, should not be longer than 45 pages. All tables, figures, references and any other element pertaining to these sections must be included as an integral part of these sections and are thus counted against this page limit.

The page limit will be applied automatically; therefore you must remove this instruction page before submitting.

If you attempt to upload a proposal longer than the specified limit before the deadline, you will receive an automatic warning and will be advised to shorten and re-upload the proposal. After the deadline, excess pages (in over-long proposals/applications) will be automatically made invisible, and will not be taken into consideration by the experts. The proposal is a self-contained document. Experts will be instructed to ignore hyperlinks to information that is specifically designed to expand the proposal, thus circumventing the page limit.

Please, do not consider the page limit as a target! It is in your interest to keep your text as concise as possible, since experts rarely view unnecessarily long proposals in a positive light.



The following formatting conditions apply.

The reference font for the body text of proposals is Times New Roman (Windows platforms), Times/Times New Roman (Apple platforms) or Nimbus Roman No. 9 L (Linux distributions).

The use of a different font for the body text is not advised and is subject to the cumulative conditions that the font is legible and that its use does not significantly shorten the representation of the proposal in number of pages compared to using the reference font (for example with a view to bypass the page limit).

The minimum font size allowed is 11 points. Standard character spacing and a minimum of single line spacing is to be used. This applies to the body text, including text in tables.

Text elements other than the body text, such as headers, foot/end notes, captions, formula's, may deviate, but must be legible.

The page size is A4, and all margins (top, bottom, left, right) should be at least 15 mm (not including any footers or headers).

	DEFINITIONS (Included here for convenience. Final presentation will be different).		
Critical risk	A critical risk is a plausible event or issue that could have a high adverse impact on the ability of the project to achieve its objectives.		
	Level of likelihood to occur (Low/medium/high): The likelihood is the estimated probability that the risk will materialise even after taking account of the mitigating measures put in place.		
	Level of severity (Low/medium/high): The relative seriousness of the risk and the significance of its effect.		
Deliverable A report that is sent to the Commission or Agency providing information to ensure e monitoring of the project. There are different types of deliverables (e.g. a report on activities or results, data management plans, ethics or security requirements).			
Impacts	Wider long term effects on society (including the environment), the economy and science, enabled by the outcomes of R&I investments (long term). It refers to the specific contribution of the project to the work programme expected impacts described in the destination. Impacts generally occur some time after the end of the project.		
	Example: The deployment of the advanced forecasting system enables each airport to increase maximum passenger capacity by 15% and passenger average throughput by 10%, leading to a 28% reduction in infrastructure expansion costs.		
Milestone	Control points in the project that help to chart progress. Milestones may correspond to the achievement of a key result, allowing the next phase of the work to begin. They may also be needed at intermediary points so that, if problems have arisen, corrective measures can be taken. A milestone may be a critical decision point in the project where, for example, the consortium must decide which of several technologies to adopt for further development. The achievement of a milestone should be verifiable.		
Objectives	The goals of the work performed within the project, in terms of its research and innovation content. This will be translated into the project's results. These may range from tackling specific research questions, demonstrating the feasibility of an innovation, sharing knowledge among stakeholders on specific issues. The nature of the objectives will depend on the type of action, and the scope of the topic.		
Outcomes	The expected effects, over the medium term, of projects supported under a given topic. The results of a project should contribute to these outcomes, fostered in particular by the dissemination and exploitation measures. This may include the uptake, diffusion, deployment, and/or use of the project's results by direct target groups. Outcomes generally occur during or shortly after the end of the project.		
	Example: 9 European airports adopt the advanced forecasting system demonstrated during the project.		
Pathway to impact	Logical steps towards the achievement of the expected impacts of the project over time, in particular beyond the duration of a project. A pathway begins with the projects' results, to their dissemination, exploitation and communication, contributing to the expected outcomes in the work programme topic, and ultimately to the wider scientific, economic and societal impacts of the work programme destination.		
Research output	Results generated by the action to which access can be given in the form of scientific publications, data or other engineered outcomes and processes such as software, algorithms,		

	protocols and electronic notebooks.
Results	What is generated during the project implementation. This may include, for example, know-how, innovative solutions, algorithms, proof of feasibility, new business models, policy recommendations, guidelines, prototypes, demonstrators, databases and datasets, trained researchers, new infrastructures, networks, etc. Most project results (inventions, scientific works, etc.) are 'Intellectual Property', which may, if appropriate, be protected by formal 'Intellectual Property Rights'. Example: Successful large-scale demonstrator: trial with 3 airports of an advanced forecasting system for proactive airport passenger flow management.
Technology Readiness Level	See Work Programme General Annexes section B

🧘 Fill in the title of your proposal belo

TITLE OF THE PROPOSAL

⚠ The consortium members are listed in part A of the proposal (application forms). A summary list should also be provided in the table below.

List of participants

Participant No. *	Participant organisation name		
1 (Coordinator)			
2			
3			

^{*} Please use the same participant numbering and name as that used in the administrative proposal forms.

Excellence – aspects to be taken into account.

- Clarity and pertinence of the project's objectives, and the extent to which the proposed work is ambitious, and goes beyond the state of the art.
- Soundness of the proposed methodology, including the underlying concepts, models, assumptions, interdisciplinary approaches, appropriate consideration of the gender dimension in research and innovation content, and the quality of open science practices, including sharing and management of research outputs and engagement of citizens, civil society and end users where appropriate.

1. Excellence

⚠ The following aspects will be taken into account only to the extent that the proposed work is within the scope of the work programme topic.

1.1 Objectives and ambition [e.g. 4 pages]

- Briefly describe the objectives of your proposed work. Why are they pertinent to the work programme topic? Are they measurable and verifiable? Are they realistically achievable?
- Describe how your project goes beyond the state-of-the-art, and the extent the proposed work is ambitious. Indicate any exceptional ground-breaking R&I, novel concepts and approaches, new products, services or business and organisational models. Where relevant, illustrate the advance by referring to products and services already available on the market. Refer to any patent or publication search carried out.
- Describe where the proposed work is positioned in terms of R&I maturity (i.e. where it is situated in the spectrum from 'idea to application', or from 'lab to market'). Where applicable, provide an indication of the Technology Readiness Level, if possible distinguishing the start and by the end of the project.
 - ⚠ Please bear in mind that advances beyond the state of the art must be interpreted in the light of the positioning of the project. Expectations will not be the same for RIAs at lower TRL, compared with Innovation Actions at high TRLs.

1.2 Methodology [e.g. 15 pages]

- Describe and explain the overall methodology, including the concepts, models and assumptions that underpin your work. Explain how this will enable you to deliver your project's objectives. Refer to any important challenges you may have identified in the chosen methodology and how you intend to overcome them. [e.g. 10 pages]
 - ⚠ This section should be presented as a narrative. The detailed tasks and work packages are described below under 'Implementation'.
- Describe any national or international research and innovation activities whose results will feed into the project, and how that link will be established; [e.g. 1 pages]
- Explain how expertise and methods from different disciplines will be brought together and integrated in pursuit of your objectives. If you consider that an inter-disciplinary approach is unnecessary in the context of the proposed work, please provide a justification. [e.g. 1/2 page]

- For topics where the work programme indicates the need for the integration of social sciences and humanities, show the role of these disciplines in the project or provide a justification if you consider that these disciplines are not relevant to your proposed project. [e.g. 1/2 page]
- Describe how the gender dimension (i.e. sex and/or gender analysis) is taken into account in the project's
 research and innovation content [e.g. 1 page]. If you do not consider such a gender dimension to be
 relevant in your project, please provide a justification.
 - Note: This section is mandatory except for topics which have been identified in the work programme as not requiring the integration of the gender dimension into R&I content.
 - A Remember that that this question relates to the <u>content</u> of the planned research and innovation activities, and not to gender balance in the teams in charge of carrying out the project.
 - Sex and gender analysis refers to biological characteristics and social/cultural factors respectively. For guidance on methods of sex / gender analysis and the issues to be taken into account, please refer to http://ec.europa.eu/research/swafs/gendered-innovations/index_en.cfm?pg=home
- Describe how appropriate open science practices are implemented as an integral part of the proposed methodology. Show how the choice of practices and their implementation are adapted to the nature of your work, in a way that will increase the chances of the project delivering on its objectives [e.g. 1 page].
 If you believe that none of these practices are appropriate for your project, please provide a justification here.
 - Open science is an approach based on open cooperative work and systematic sharing of knowledge and tools as early and widely as possible in the process. Open science practices include early and open sharing of research (for example through preregistration, registered reports, preprints, or crowd-sourcing); research output management; measures to ensure reproducibility of research outputs; providing open access to research outputs (such as publications, data, software, models, algorithms, and workflows); participation in open peer-review; and involving all relevant knowledge actors including citizens, civil society and end users in the co-creation of R&I agendas and contents (such as citizen science).
 - ⚠ Please note that this question does not refer to outreach actions that may be planned as part of communication, dissemination and exploitation activities. These aspects should instead be described below under 'Impact'.
- Research data management and management of other research outputs: Applicants generating/collecting data and/or other research outputs (except for publications) during the project must provide maximum 1 page on how the data/ research outputs will be managed in line with the FAIR principles (Findable, Accessible, Interoperable, Reusable), addressing the following (the description should be specific to your project): [1 page]

Types of data/research outputs (e.g. experimental, observational, images, text, numerical) and their estimated size; if applicable, combination with, and provenance of, existing data.

Findability of data/research outputs: Types of persistent and unique identifiers (e.g. digital object identifiers) and trusted repositories that will be used.

Accessibility of data/research outputs: IPR considerations and timeline for open access (if open access not provided, explain why); provisions for access to restricted data for verification purposes.

Interoperability of data/research outputs: Standards, formats and vocabularies for data and

metadata.

Reusability of data/research outputs: Licenses for data sharing and re-use (e.g. Creative Commons, Open Data Commons); availability of tools/software/models for data generation and validation/interpretation / re-use.

Curation and storage/preservation costs; person/team responsible for data management and quality assurance.

- Proposals selected for funding under Horizon Europe will need to develop a detailed data management plan (DMP) for making their data/research outputs findable, accessible, interoperable and reusable (FAIR) as a deliverable by month 6 and revised towards the end of a project's lifetime.
- For guidance on open science practices and research data management, please refer to the relevant section in the <u>online manual</u> on the Funding & Tenders Portal.

2. Impact

Impact – aspects to be taken into account.

- Credibility of the pathways to achieve the expected outcomes and impacts specified in the work programme, and the likely scale and significance of the contributions due to the project.
- Suitability and quality of the measures to maximise expected outcomes and impacts, as set out in the dissemination and exploitation plan, including communication activities.

The results of your project should make a contribution to the expected outcomes set out for the work programme topic over the medium term, and to the wider expected impacts set out in the 'destination' over the longer term.

In this section you should show how your project could contribute to the outcomes and impacts described in the work programme, the likely scale and significance of this contribution, and the measures to maximise these impacts.

2.1 Project's pathways towards impact [e.g. 4 pages]

- Provide a narrative explaining how the project's results are expected to make a difference in terms of
 impact, beyond the immediate scope and duration of the project. The narrative should include the
 components below, tailored to your project.
 - (a) Describe the unique contribution your project results would make towards (1) the **outcomes** specified in this topic, and (2) the **wider impacts**, in the longer term, specified in the respective destinations in the work programme.
 - Be specific, referring to the effects of your project, and not R&I in general in this field.
 - ▲ Include considerations on the 'Do No Significant Harm Principle'. Is your proposal compliant with this principle? (Not significantly harming any of the environmental objectives set out in Article 9 of the <u>EU Sustainable Finance Taxonomy Regulation</u>. This means that if an economic activity

substantially contributes to one environmental objective but at the same time significantly harms another, it will not qualify as a sustainable activity).

- ⚠ State the target groups that would benefit. Even if target groups are mentioned in general terms in the work programme, you should be specific here, breaking target groups into particular interest groups or segments of society relevant to this project.
- The outcomes and impacts of your project may be in terms of:
 - Scientific, e.g. contributing to specific scientific advances, across and within disciplines, creating new knowledge, reinforcing scientific equipment and instruments, computing systems (i.e. research infrastructures);
 - Economic/technological, e.g. bringing new products, services, business processes to the market, increasing efficiency, decreasing costs, increasing profits, contributing to standards' setting, etc.
 - Societal, e.g. decreasing CO_2 emissions, decreasing avoidable mortality, improving policies and decision making, raising consumer awareness.

Only include such outcomes and impacts where your project would make a significant and direct contribution. Avoid describing very tenuous links to wider impacts.

- (b) Describe any requirements and potential barriers arising from factors beyond the scope and duration of the project that may determine whether the desired outcomes and impacts are achieved. These may include, for example, other R&I work within and beyond Horizon Europe; regulatory environment; targeted markets; user behaviour. Indicate if these factors might evolve over time. Describe any mitigating measures you propose, within or beyond your project, that could be needed should your assumptions prove to be wrong, or to address identified barriers.
 - Note that this does not include the critical risks inherent to the management of the proect itself, which should be described below under 'Implementation'.
- (c) Give an indication of the scale and significance of the project's contribution to the expected outcomes and impacts, should the project be successful. Provide quantified estimates where possible and meaningful.
 - 'Scale' refers to how widespread the outcomes and impacts are likely to be. For example, in terms of the size of the target group, or the proportion of that group, that should benefit over time; 'Significance' refers to the importance, or value, of those benefits. For example, number of additional healthy life years; efficiency savings in energy supply.
 - Explain your baselines, benchmarks and assumptions used for those estimates. Wherever possible, quantify your estimation of the effects that you expect from your project. Explain assumptions that you make, referring for example to any relevant studies or statistics. Where appropriate, try to use only one methodology for calculating your estimates: not different methodologies for each partner, region or country (the extrapolation should preferably be prepared by one partner).
 - Your estimate must relate to this project only the effect of other initiatives should not be taken into account.
- 2.2 Measures to maximise impact Dissemination, exploitation and communication [e.g. 5 pages]

- Describe the planned measures to maximise the impact of your project by providing a first version of your 'plan for the dissemination and exploitation including communication activities'. Describe the dissemination, exploitation and communication measures that are planned, and the target group(s) addressed (e.g. scientific community, end users, financial actors, public at large).
 - ⚠ Please remember that this plan is an admissibility condition, unless the work programme topic explicitly states otherwise. In case your proposal is selected for funding, a more detailed 'plan for dissemination and exploitation including communication activities' will need to be provided as a mandatory project deliverable within 6 months after signature date. This plan shall be periodically updated in alignment with the project's progress.
 - ▲ [Guidance and examples of possible dissemination & exploitation measures]
 - <u>Communication</u>^{5,6} measures should promote the project throughout the full lifespan of the project. The aim is to inform and reach out to society and show the activities performed, and the use and the benefits the project will have for citizens. Activities must be strategically planned, with clear objectives, start at the outset and continue through the lifetime of the project. The description of the communication activities needs to state the main messages as well as the tools and channels that will be used to reach out to each of the chosen target groups.
 - All measures should be proportionate to the scale of the project, and should contain concrete actions to be implemented both during and after the end of the project, e.g. standardisation activities. Your plan should give due consideration to the possible follow-up of your project, once it is finished. In the justification, explain why each measure chosen is best suited to reach the target group addressed. Where relevant, and for innovation actions, in particular, describe the measures for a plausible path to commercialise the innovations.
 - If exploitation is expected primarily in non-associated third countries, justify by explaining how that exploitation is still in the Union's interest.
 - ⚠ Describe possible feedback to policy measures generated by the project that will contribute to designing, monitoring, reviewing and rectifying (if necessary) existing policy and programmatic measures or shaping and supporting the implementation of new policy initiatives and decisions.
- Outline your strategy for the management of intellectual property, foreseen protection measures, such as patents, design rights, copyright, trade secrets, etc., and how these would be used to support exploitation.
 - If your project is selected, you will need an appropriate consortium agreement to manage (amongst other things) the ownership and access to key knowledge (IPR, research data etc.). Where relevant, these will allow you, collectively and individually, to pursue market opportunities arising from the project.
 - If your project is selected, you must indicate the owner(s) of the results (results ownership list) in the final periodic report.

For further guidance on communicating EU research and innovation f⁶ See participant portal FAQ on how to address <u>communication activities</u>

⁵ See participant portal FAQ on how to address <u>communication activities</u>

⁶ For further guidance on communicating EU research and innovation for project participants, please refer to the <u>Online Manual</u> on the Funding & Tenders Portal.or project participants, please refer to the <u>Online Manual</u> on the Funding & Tenders Portal.

2.3 Summary

Provide a summary of this section by presenting in the canvas below the key elements of your project impact pathway and of the measures to maximise its impact.

KEY ELEMENT OF THE IMPACT SECTION

SPECIFIC NEEDS

What are the specific needs that triggered this project?

Example 1

Most airports use process flow-oriented models based on static mathematical values limiting the optimal management of passenger flow and hampering the accurate use of the available resources to the actual demand of passengers.

Example 2

Electronic components need to get smaller and lighter to match the expectations of the end-users. At the same time there is a problem of sourcing of raw materials that has an environmental impact.

EXPECTED RESULTS

What do you expect to generate by the end of the project?

Example 1Successful large-scale

demonstrator:

Successful large-scale demonstrator:

Trial with 3 airports of an advanced forecasting system for proactive airport passenger flow management.

Algorithmic model:

Novel algorithmic model for proactive airport passenger flow management.

Example 2

Publication of a scientific discovery on transparent electronics.

New product: More sustainable electronic circuits.

Three PhD students trained.

D & E & C MEASURES

What dissemination, exploitation and communication measures will you apply to the results?

Example 1

Exploitation: Patenting the algorithmic model.

Dissemination towards the scientific community and airports: Scientific publication with the results of the large-scale demonstration.

Communication towards citizens: An event in a shopping mall to show how the outcomes of the action are relevant to our everyday lives.

Example 2

Exploitation of the new product: Patenting the new product; Licencing to major electronic companies.

Dissemination towards the scientific community and industry:

Participating at conferences; Developing a platform of material compositions for industry; Participation at EC project portfolios to disseminate the results as part of a group and maximise the visibility vis-àvis companies.

TARGET GROUPS

Who will use or further up-take the results of the project? Who will benefit from the results of the project?

Example 1

9 European airports:

Schiphol, Brussels airport, etc.

The European Union aviation safety agency.

Air passengers (indirect).

Example 2

End-users: consumers of electronic devices.

Major electronic companies: Samsung, Apple, etc.

Scientific community (field of transparent electronics).

OUTCOMES

What change do you expect to see after successful dissemination and exploitation of project results to the target group(s)?

Example 1

Up-take by airports: 9 European airports adopt the advanced forecasting system demonstrated during the project.

Example 2

High use of the scientific discovery published (measured with the relative rate of citation index of project publications).

A major electronic company (Samsung or Apple) exploits/uses the new product in their manufacturing.

IMPACTS

What are the expected wider scientific, economic and societal effects of the project contributing to the expected impacts outlined in the respective destination in the work programme?

Example 1

Scientific: New breakthrough scientific discovery on passenger forecast modelling.

Economic: Increased airport efficiency
Size: 15% increase of maximum passenger capacity in
European airports, leading to a 28% reduction in
infrastructure expansion costs.

Example 2

Scientific: New breakthrough scientific discovery on transparent electronics.

Economic/Technological: A new market for touch enabled electronic devices.

Societal: Lower climate impact of electronics manufacturing (including through material sourcing and waste management).

3. Quality and efficiency of the implementation

Award criteria – aspects to be taken into account

- Quality and effectiveness of the work plan, assessment of risks, and appropriateness of the effort assigned to work packages, and the resources overall
- Capacity and role of each participant, and extent to which the consortium as a whole brings together the necessary expertise.

3.1 Work plan and resources [e.g. 14 pages – including tables]

Please provide the following:

- brief presentation of the overall structure of the work plan;
- timing of the different work packages and their components (Gantt chart or similar);
- graphical presentation of the components showing how they inter-relate (Pert chart or similar).
- detailed work description, i.e.:
 - a list of work packages (table 3.1a);
 - a description of each work package (table 3.1b);
 - o a list of deliverables (table 3.1c);
 - Give full details. Base your account on the logical structure of the project and the stages in which it is to be carried out. The number of work packages should be proportionate to the scale and complexity of the project.
 - A You should give enough detail in each work package to justify the proposed resources to be allocated and also quantified information so that progress can be monitored, including by the Commission
 - A Resources assigned to work packages should be in line with their objectives and deliverables. You are advised to include a distinct work package on 'project management', and to give due visibility in the work plan to 'data management' 'dissemination and exploitation' and 'communication activities', either with distinct tasks or distinct work packages.
 - A You will be required to update the 'plan for the dissemination and exploitation of results including communication activities', and a 'data management plan', (this does not apply to topics where a plan was not required.) This should include a record of activities related to dissemination and exploitation that have been undertaken and those still planned.
 - Please make sure the information in this section matches the costs as stated in the budget table in section 3 of the application forms, and the number of person months, shown in the detailed work package descriptions.
- a list of milestones (table 3.1d).

- a list of critical risks, relating to project implementation, that the stated project's objectives may not be achieved. Detail any risk mitigation measures. You will be able to update the list of critical risks and mitigation measures as the project progresses (table 3.1e).
- a table showing number of person months required (table 3.1f)
- a table showing description and justification of subcontracting costs for each participant (table 3.1g)
- a table showing justifications for 'purchase costs' (table 3.1h) for participants where those costs exceed XX% of the personnel costs (according to the budget table in proposal part A)
- if applicable, a table showing justifications for 'other costs categories' (table 3.1i)

3.2 Capacity of participants and consortium as a whole [e.g. 3 pages]

⚠ The individual members of the consortium are described in a separate section under Part A. There is no need to repeat that information here.

- Describe the consortium. How does it match the project's objectives, and bring together the necessary disciplinary and inter-disciplinary knowledge. Show how this includes expertise in social sciences and humanities, open science practices, and gender aspects of R&I, as appropriate.
- Show how the partners will have access to critical infrastructure needed to carry out the project activities.
- Describe how the members complement one another (and cover the value chain, where appropriate)
- In what way does each of them contribute to the project? Show that each has a valid role, and adequate resources in the project to fulfil that role.
- If applicable, describe the industrial/commercial involvement in the project to ensure exploitation of the results and explain why this is consistent with and will help to achieve the specific measures which are proposed for exploitation of the results of the project (see section 2.2).
- Other countries and international organisations: If one or more of the participants requesting EU funding
 is based in a country or is an international organisation that is not automatically eligible for such funding
 (entities from Member States of the EU, from Associated Countries and from one of the countries in the
 exhaustive list included in the Work Programme General Annexes section A are automatically eligible for
 EU funding), explain why the participation of the entity in question is essential to successfully carry out
 the project.

Tables for section 3.1

Table 3.1a: List of work packages

Work package No	Work Package Title	Lead Participant No	Lead Participant Short Name	Person- Months	Start Month	End month
				Total person-months		

Table 3.1b: Work package description

For each work package:

	1	T				T	
Work package number		Lead b	eneficiary				
Work package title		1		1	1		
Participant number							
Short name of participant							
Person months per participant:							
Start month				End			
Start month				month			
				month			
	ı			l	1		
Objectives							
Description of work (where appropr	iate, broke	n down in	to tasks), le	ead partne	er and role	of particip	ants
Deliverables (brief description and n	nonth of de	livery)					
- Constitution (content descent percent daniel							

Table 3.1c: List of Deliverables⁷

Only include deliverables that you consider essential for effective project monitoring.

Deliverable (number)	Deliverable name	Work package number	Short name of lead participant	Туре	Dissemination level	Delivery date (in months)

KEY

Deliverable numbers in order of delivery dates. Please use the numbering convention <WP number>.<number of deliverable within that WP>. For example, deliverable 4.2 would be the second deliverable from work package 4.

Type:

Use one of the following codes:

R: Document, report (excluding the periodic and final reports)

DEM: Demonstrator, pilot, prototype, plan designs

DEC: Websites, patents filing, press & media actions, videos, etc.

DATA: Data sets, microdata, etc. DMP: Data management plan

ETHICS: Deliverables related to ethics issues. SECURITY: Deliverables related to security issues

OTHER: Software, technical diagram, algorithms, models, etc.

Dissemination level:

Use one of the following codes:

PU – Public, fully open, e.g. web (Deliverables flagged as public will be automatically published in CORDIS project's page)

SEN – Sensitive, limited under the conditions of the Grant Agreement

Classified R-UE/EU-R - EU RESTRICTED under the Commission Decision No2015/444

Classified C-UE/EU-C - EU CONFIDENTIAL under the Commission Decision No2015/444

Classified S-UE/EU-S – EU SECRET under the Commission Decision No2015/444

Delivery date

Measured in months from the project start date (month 1)

You must include a data management plan (DMP) and a 'plan for dissemination and exploitation including communication activities as distinct deliverables within the first 6 months of the project. The DMP will evolve during the lifetime of the project in order to present the status of the project's reflections on data management. A template for such a plan is available in the Online Manual on the Funding & Tenders Portal.

Table 3.1d: List of milestones

Milestone number	Milestone name	Related work package(s)	Due date (in month)	Means of verification

KEY

Due date

Measured in months from the project start date (month 1)

Means of verification

Show how you will confirm that the milestone has been attained. Refer to indicators if appropriate. For example: a laboratory prototype that is 'up and running'; software released and validated by a user group; field survey complete and data quality validated.

Table 3.1e: Critical risks for implementation

Description of risk (indicate level of (i) likelihood, and (ii) severity: Low/Medium/High)	Work package(s) involved	Proposed risk-mitigation measures

Definition critical risk:

A critical risk is a plausible event or issue that could have a high adverse impact on the ability of the project to achieve its objectives.

Level of likelihood to occur: Low/medium/high

The likelihood is the estimated probability that the risk will materialise even after taking account of the mitigating measures put in place.

Level of severity: Low/medium/high

The relative seriousness of the risk and the significance of its effect.

Table 3.1f: Summary of staff effort

Please indicate the number of person/months over the whole duration of the planned work, for each work package, for each participant. Identify the work-package leader for each WP by showing the relevant personmonth figure in bold.

	WPn	WPn+1	WPn+2	Total Person- Months per Participant
Participant Number/Short Name				
ParticipantNumber/ Short Name				
Participant Number/ Short Name				
Total Person Months				

Table 3.1g: 'Subcontracting costs' items

For each participant describe and justify the tasks to be subcontracted (please note that core tasks of the project should not be sub-contracted).

Participant Number/Short Name				
	Cost (€)	Description of tasks and justification		
Subcontracting				

Table 3.1h: 'Purchase costs' items (travel and subsistence, equipment and other goods, works and services) and 'Other costs categories' items (e.g. internally invoiced goods and services)

Please complete the table below for each participant if the sum of the costs for 'trave and subsistencel', 'equipment', and 'other goods, works and services' exceeds XX% of the personnel costs for that participant (according to the budget table in proposal part A). The record must list the largest cost items down to the level that the remaining, costs are below XX% of personnel costs.

Participant Number/Short Name			
	Cost (€)	Justification	
Travel and subsistence			
Equipment			
Other goods, works and			
services			
Remaining purchase			
costs (< <mark>xx</mark> % of pers.			
Costs)			
Total			

Table 3.1i: 'Other costs categories' items (e.g. internally invoiced goods and services)

Please complete the table below for each participants that would like to declare costs under other costs categories (i.e. internally invoiced goods and services), irrespective of the percentage of personnel costs.

Participant Number/Short Name			
	Cost (€)	Justification	
Internally invoiced			
goods and services			

STANDARD MODULAR EXTENSION OF PROPOSAL TEMPLATE:

1. FINANCIAL SUPPORT TO THIRD PARTIES

- PART A: No additions
- PART B: Add an additional annex with information on financial support to third paries

Financial support to third parties

A For more information on terms and conditions: see Work Programme General Annexes section B and Horizon Europe Model Grant Agreement Articles 6.2.D.1 and 9.4

[OPTION financial support in the form of a grant:

Financial support in the form of a grant awarded after a call for proposals

Where this possibility is indicated under the relevant topic in the Work Programme and in the relevant calls for proposals, provide a description of the use of financial support to third parties. This description must address at least the following:

- 1. clearly detail the objectives and the results to be obtained and
- 2. contain the following specifications (as a minimum):
 - a) the maximum amount of financial support for each third party; this amount may not exceed 60 000 EUR, unless explicitly mentioned in the work programme topic
 - b) the criteria for calculating the exact amount of the financial support
 - c) the different types of activity that qualify for financial support, on the basis of a closed list
 - d) the persons or categories of persons that may receive financial support, and
 - e) the criteria for giving financial support

Please check in the Work Programme and call for proposals if there are other conditions that apply and, if so, include them in the specifications or in any other element of the proposal as appropriate.

[OPTION financial support in the form of a prize:

Financial support in the form of a prize

Where this possibility is indicated under the relevant topic in the Work Programme, provide a description of the use of financial support to third parties. This description must address at least the following:

- 1. clearly detail the objectives and the results to be obtained and
- 2. contain the following specifications (as a minimum):
 - a) the eligibility and award criteria
 - b) the amount of the prize and
 - c) the payment arrangements.

Please check in the Work Programme and the call for proposals if the are other conditions that apply and, if so, include them in the specifications or in any other element of the proposal as

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appropriate. 1
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2. CLINICAL TRIALS

- PART A: Additional question
- PART B: Add an additional annex with information on clinical trials (link to H2020 template)

3. CALLS FLAGGED AS SECURITY SENSITIVE

- PART A: No additions
- Part B: Add an additional annex with information on security